

## United States Patent and Trademark Office

1.5

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/625,240	07/22/2003	Opher D. Kahn	Intel 2207/670602	8612
25693 KENYON & K	7590 01/12/2007 ENYON LLP	EXAMINER		
RIVERPARK TOWERS, SUITE 600			HUISMAN, DAVID J	
333 W. SAN C SAN JOSE, CA			ART UNIT	PAPER NUMBER
			2183	
	<u> </u>	<u> </u>		
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MO	NTHS	01/12/2007	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
	10/625,240	KAHN ET AL.				
Office Action Summary	Examiner	Art Unit				
	David J. Huisman	2183				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address					
Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status		•				
,	Responsive to communication(s) filed on <u>24 October 2006</u> .					
· <u> </u>	, <del></del>					
· · · · · · · · · · · · · · · · · · ·	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) Claim(s) 23-41 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5)⊠ Claim(s) <u>23-35</u> is/are allowed.						
6)⊠ Claim(s) <u>36-41</u> is/are rejected. 7)□ Claim(s) is/are objected to.	•	•				
8) Claim(s) are subject to restriction and/or	r election requirement					
o/ Claim(s) are subject to restriction and/or election requirement.						
Application Papers						
9) The specification is objected to by the Examiner.						
10)⊠ The drawing(s) filed on <u>22 July 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
		•				
	·					
Attachment(s)						
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	4) Ll Interview Summary Paper No(s)/Mail Da	ate				
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal P 6) Other:	atent Application				

#### **DETAILED ACTION**

1. Claims 23-41 have been examined.

## Papers Submitted

2. It is hereby acknowledged that the following papers have been received and placed of record in the file: Amendment as received on 10/24/2006.

#### Priority

3. It is noted that this application appears to claim subject matter disclosed in prior Application No. 09536476, now U.S. Patent No. 6,625,724, filed on March 28, 2000. A reference to the prior application must be inserted as the first sentence(s) of the specification of this application or in an application data sheet (37 CFR 1.76), if applicant intends to rely on the filing date of the prior application under 35 U.S.C. 119(e), 120, 121, or 365(c). See 37 CFR 1.78(a). For benefit claims under 35 U.S.C. 120, 121, or 365(c), the reference must include the relationship (i.e., continuation, divisional, or continuation-in-part) of all nonprovisional applications. If the application is a utility or plant application filed under 35 U.S.C. 111(a) on or after November 29, 2000, the specific reference to the prior application must be submitted during the pendency of the application and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior application. If the application is a utility or plant application which entered the national stage from an international application filed on or after November 29, 2000, after compliance with 35 U.S.C. 371, the specific reference must be submitted during the pendency of the application and within the later of four months

Art Unit: 2183

from the date on which the national stage commenced under 35 U.S.C. 371(b) or (f) or sixteen months from the filing date of the prior application. See 37 CFR 1.78(a)(2)(ii) and (a)(5)(ii). This time period is not extendable and a failure to submit the reference required by 35 U.S.C. 119(e) and/or 120, where applicable, within this time period is considered a waiver of any benefit of such prior application(s) under 35 U.S.C. 119(e), 120, 121 and 365(c). A benefit claim filed after the required time period may be accepted if it is accompanied by a grantable petition to accept an unintentionally delayed benefit claim under 35 U.S.C. 119(e), 120, 121 and 365(c). The petition must be accompanied by (1) the reference required by 35 U.S.C. 120 or 119(e) and 37 CFR 1.78(a)(2) or (a)(5) to the prior application (unless previously submitted), (2) a surcharge under 37 CFR 1.17(t), and (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2) or (a)(5) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional. The petition should be addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If the reference to the prior application was previously submitted within the time period set forth in 37 CFR 1.78(a), but not in the first sentence(s) of the specification or an application data sheet (ADS) as required by 37 CFR 1.78(a) (e.g., if the reference was submitted in an oath or declaration or the application transmittal letter), and the information concerning the benefit claim was recognized by the Office as shown by its inclusion on the first filing receipt, the petition under 37 CFR 1.78(a) and the surcharge under 37 CFR 1.17(t) are not required.

Applicant is still required to submit the reference in compliance with 37 CFR 1.78(a) by filing an amendment to the first sentence(s) of the specification or an ADS. See MPEP § 201.11.

Application/Control Number: 10/625,240

Page 4

Art Unit: 2183

4. Applicant filed a preliminary amendment July 22, 2003, which said "Prior to examination, please amend the above-identified continuation application as follows. This application is a Continuation of U.S. Patent Application Serial No. 09/536,476." However, Applicant did not specify that the specification was to be amended nor did applicant specify which part of the specification was to be amended. Therefore, applicant has not met the above priority requirements. Further evidence of applicant's failure to meet the requirements above is the substitute specification filed on June 16, 2006, which does not have the priority statement at the beginning of the specification.

### Claim Objections

5. Claim 40 is objected to because of the following informalities: In lines 5-6, replace "an 32-bit" with --a 32-bit--. Appropriate correction is required.

### Withdrawn Rejections

6. Applicant stated on page 7 of the remarks filed on October 24, 2006, that the claims were amended, in accordance with the examiner's recommendation, to overcome the 35 U.S.C. 112, 2<sup>nd</sup> paragraph, rejections. The examiner notes that applicant did not amend claim 23 to overcome the indefinite rejection set forth in paragraph 7 of the Office Action mailed on September 7, 2006. However, after further consideration, the examiner has withdrawn this specific rejection applied to claim 23.

Application/Control Number: 10/625,240 Page 5

Art Unit: 2183

### Claim Rejections - 35 USC § 101

7. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

- 8. Claims 36-41 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.
- 9. Referring to claim 36, the claim does not produce a tangible result in two ways:
- a) when the determining steps are satisfied, identifier decoding is performed.

  However, merely decoding an identifier (i.e., determining the value of an identifier) does not produce a tangible result, and therefore, the claimed invention has no practical application.
- b) when the determining steps are not satisfied, the decoding does not take place, and consequently, all that happens is determining. Determining alone does not produce a tangible result, and therefore, the claimed invention has no practical application.

The examiner recommends adding language that specifies:

- a) what happens in response to said determining steps not being satisfied (i.e., a 3-bit register identifier is decoded, or some other similar language); and
  - b) that a value is outputted from a register accessed according to the decoded identifier.
- 10. Referring to claims 37-39, the claims do not produce a tangible result for similar reasons set forth in the rejection of claim 36. Claims 37-39 provide more details on decoding and what is decoded, but do not produce a tangible result.
- 11. Referring to claim 40, the claim is not directed towards an arrangement of parts but instead towards programmed functionality, which does not produce a tangible result in two ways:
  - a) when the determining steps are satisfied, identifier decoding is performed.

Art Unit: 2183

However, merely decoding an identifier (i.e., determining the value of an identifier) does not produce a tangible result, and therefore, the claimed invention has no practical application.

- b) when the determining steps are not satisfied, the decoding does not take place, and consequently, all that happens is determining. Determining alone does not produce a tangible result, and therefore, the claimed invention has no practical application.
- 12. Referring to claim 41, the claim does not produce a tangible result for similar reasons set forth in the rejection of claim 40. Claim 41 provides more details on decoding, but does not produce a tangible result.

### Claim Rejections - 35 USC § 112

13. The following is a quotation of the second paragraph of 35 U.S.C. 112: The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the

subject matter which the applicant regards as his invention.

- 14. Claims 36-41 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 15. Referring to claim 36 and, specifically, the phrase "in response to said determining steps being satisfied," it is not clear how the determining steps are satisfied. That is, the claim includes multiple determining steps that determine whether a particular field contains a certain value. However, is each determining step satisfied when that field holds the appropriate value or when it does not hold the value? Applicant should clarify the claim. For purposes of examination, the examiner's interpretation is that the determining steps are satisfied when the fields do in fact hold the appropriate values.

Application/Control Number: 10/625,240 Page 7

Art Unit: 2183

16. Claims 37-39 are rejected under 35 U.S.C. 112, 2<sup>nd</sup> paragraph, as being indefinite, because they are dependent, either directly or indirectly, on an indefinite claim.

#### 17. Referring to claim 40:

- With respect to the phrase "in response to said determining steps being satisfied,"
  it is not clear how the determining steps are satisfied. That is, the claim includes
  comparators to determine whether a particular field contains a certain value.
  However, is each determining step satisfied when that field holds the appropriate
  value or when it does not hold the value? Applicant should clarify the claim.
- While the preamble seems to suggest applicant is trying to claim a processor, the body of the claim makes it unclear as to whether applicant is trying to claim a processor or a method. The last paragraph of the claim includes the phrase "in response to said determining steps being satisfied." Steps are found in method claims but applicant does not appear to be claiming steps. Applicant instead is claiming comparators that "determine whether...". Applicant should find more appropriate language which eliminates the ambiguity.
- Furthermore, the limitation "said determining steps" is recited in the last
   paragraph. There is insufficient antecedent basis for this limitation in the claim as
   no determining steps were previously mentioned.
  - O Due to the above problems, the examiner will interpret this limitation as "in response to the mod field containing a value selected from the values of 01B, 10B, and 00B, the r/m field containing a value of 100B, and the index field containing a value of 100B."

Art Unit: 2183

18. Claim 41 is rejected under 35 U.S.C. 112, 2<sup>nd</sup> paragraph, as being indefinite, because it is dependent on an indefinite claim.

Page 8

#### Allowable Subject Matter

19. Claims 23-35 are allowed.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David J. Huisman whose telephone number is (571) 272-4168. The examiner can normally be reached on Monday-Friday (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on (571) 272-4162. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Art Unit: 2183.

DJH David J. Huisman January 8, 2007

Drip. Min